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INFORMATION DISCLOSURE

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Substitute for form 1449A/PTO				Application Number	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	
				First Named Inventor Robert E. Fischell	
				Art Unit	
				Examiner Name	
				Attorney Docket Number J0H2748P0043US	
Sheet	1	of	1		

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Examiner
Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Control number Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete If Known <table border="1"> <tr> <td>Application Number</td> <td></td> <td>Applicant</td> <td></td> </tr> <tr> <td>Filing Date</td> <td></td> <td>Filing</td> <td></td> </tr> <tr> <td>First Named Inventor</td> <td>ROBERT E. FISCHER</td> <td>Filing</td> <td></td> </tr> <tr> <td>Art Unit</td> <td></td> <td>Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> <td>Examiner</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>JOH2748P0043US</td> <td>Attorney</td> <td></td> </tr> </table>		Application Number		Applicant		Filing Date		Filing		First Named Inventor	ROBERT E. FISCHER	Filing		Art Unit		Art Unit		Examiner Name		Examiner		Attorney Docket Number	JOH2748P0043US	Attorney	
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U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Document Number Number - Kind Code 2 (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	41	US-5,507,767	4/16/1998	M. Maeda, et al.	Redundant
	42	US-5,507,771	4/16/1998	O. Gianturco	
	43	US-5,514,154	5/7/1996	L. Lau, et al.	
	44	US-5,527,354	6/16/1998	A. B. Fontaine, et al.	
	45	US-5,569,293	10/29/1998	S. A. Lam	
	46	US-5,591,197	1/7/1997	G. A. Orth, et al.	
	47	US-5,603,721	2/18/1997	L. Lau, et al.	
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	49	US-5,674,278	10/7/1997	M. Boneau	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	DISCLOSURE
		Filing Date	
		First Named Inventor	Robert A. Pischel
		Art Unit	
		Examiner Name	
Sheet 2 of 5	Attorney Docket Number	TOH2748P0043US	

U.S. PATENT DOCUMENTS					
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	21	us 5,061,275	10/19/1991	H. I. Wallsten	
	22	us 5,102,417	4/7/1992	J. C. Palmag	redundant
	23	us 5,104,404	4/14/1992	R. G. Wolff	
	24	us 5,116,365	5/26/1992	R. A. Hillstead	
	25	us 5,133,732	7/28/1992	D. M. Walter	Redundant
	26	us 5,192,307	3/9/1993	W. H. Wall	
	27	us 5,195,984	3/23/1993	R. A. Schatz	
	28	us 5,201,901	4/13/1993	F. Harada	
	29	us 5,266,073	11/30/1993	W. H. Wall	Redundant
	30	us 5,269,802	12/14/1993	D. B. Garber	
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	35	us 5,405,377	4/11/1995	A. Cragg	
	36	us 5,421,955	6/6/1995	L. Lau, et al.	Redundant
	37	us 5,443,498	8/22/1995	A. B. Fontaine	
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	39	us 5,449,373	9/12/1995	G. Bonedeu	
	40	us 5,496,367	3/5/1996	J. G. Gato	

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	51	EP433,011	6/19/1991		
	52	EP472,731	3/4/1992		
	53	EP513,250	5/5/1992		
	54	EP586,807	10/1/1992		
	55	EP575,523	1/19/1994		
	56	EP593,130	12/11/1993		
	57	GB2,100,168	10/21/1994		
	58	W095/31945	11/30/1995		
	59	JPA-41745	6/7/1994		

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<i>[Signature]</i>	<i>[Signature]</i>	11/5/05	

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	1	us 3,657,744	4/25/1972	R. A. Breck	Redundant
	2	us 4,503,569	3/12/1985	C. T. Dorsey	
	3	us 4,553,543	11/19/1985	D. Maass, et al.	
	4	us 4,580,568	4/8/1986	G. Granturco	
	5	us 4,653,771	4/7/1987	B. L. Wallsten	
	6	us 4,681,110	1/21/1987	D. M. Wiktor	
	7	us 4,733,665	3/29/1988	J. C. Palmaz	
	8	us 4,759,762	4/26/1988	J. C. Palmaz	
	9	us 4,768,507	9/6/1988	R. E. Fischell	
	10	us 4,795,458	1/3/1989	B. F. Regan	
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	12	us 4,830,003	5/16/1989	R. G. Wolff	
	13	us 4,856,516	8/15/1989	R. A. Hillstead	
	14	us 4,878,906	11/7/1989	P. Lindemann, et al.	
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	20	us 5,019,085	5/28/1991	R. A. Hillstead	Redundant

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	51	EP433,011	6/19/1991	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	52	EP472,731	3/4/1992	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	53	EP540,290	5/5/1993	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	54	EP566,807	10/17/1993	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	55	EP579,523	1/19/1994	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	56	EP593,136	12/11/1989	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	57	GB1,205,743	9/16/1970	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	58	GB2,189,150	10/21/1987	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	59	W095/31945	11/30/1995	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	60	JP6,41745	6/3/1994	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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		Jury Verdict (Damages) of Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		Jury Verdict (Liability) Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 20, 2000, Pretrial Hearing of Boston Scientific Corporation et al. v. Cordis Corporation, Civil Action No. 98-19 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 21, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 27, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 28, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 29, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 30, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 1, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 4, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 5, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 6, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 7, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 8, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 11, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 12, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 13, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave. Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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		December 14, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 15, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		February 9, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		February 12, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		March 26, 2002, Order re Post Trial Motions of Cordis Corporation v. Medtronic Ave., Inc., Civil Action No. 97-550 (SLR)	
		March 28, 2002, Opinion re Post Trial Motions of Cordis Corporation v. Medtronic Ave., Inc., Civil Action No. 97-550 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Brief in Support of BSC's Motions for Judgement as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation, and Scimed Life Systems, Inc., Answering Brief in Opposition to BSC's Motion for JMOL on the Fischell '312 and '370 Patents, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of BSC's Motions for Judgement as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Brief in Support of Cordis' JMOL Motion Against Boston Scientific on Claim 44 of the Palmaz '762 Patent and Claim 25 of the Fischell '370 Patent, Civil Action No. 97-550 (SLR) and Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Brief in Opposition To Cordis' JMOL Motion Regarding the Applicability of the Reverse Doctrine of Equivalents to Claim 25 of U.S. Patent No. 5,879,370, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Cordis' Motion for JMOL Against BSC on Claim 44 of the Palmaz '762 Patent and Claim 25 of the Fischell '370 Patent, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Post Trial Brief in Support of Finding that U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of Finding That U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgment of Unenforceability due to Inequitable Conduct, Civil Action No. 98-197-SLR	
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Defendants' Markman Memorandum on Claim Construction, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgement of Invalidity Under 35 U.S.C. Section 112, Paragraph 2 (Claim Indefiniteness), Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendant's Motion for Summary Judgement of Noninfringement, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Rebuttal Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Supplemental Expert Report of David C. Cumberland, M.D. Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Rebuttal Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Supplemental Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc.; Boston Scientific Corporation and Scimed Life Systems, Inc.'s Notice Pursuant to 35 U.S.C. § 282, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Memorandum Order dated September 7, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Denying Defendant's Motion for Summary Judgement of Invalidity, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Summary Judgement of Infringement, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated November 16, 1999, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated January 4, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated January 6, 2000, Civil Action No. 98-197-SLR	
Examiner Signature		Date Considered <u>1/6/05</u>	

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Sheet	5	of	5
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Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Robert E. Fischel

TOH2748P0043US

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1

Simonds et al., "Use of Experimental Metal Stents in the Treatment of Bronchial Obstruction," *Thorax*, 44:680-81 (1989).

Duprat et al., "Flexible Balloon-Expanded Stent for Small Vessels,"
Radiology, 162:276-78 (1987).

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Experimental and Clinical

Chromatography (1961) 2: 1-10
 Division of Chemical Sciences, U.S.
 Department of Energy, Washington, D.C.

Address: 10000 1st Ave. S.E.
Bellevue, WA 98004
Phone: (206) 462-4600

September 1947 - 1948
 December 1948 - 1949

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Sheet 4 of 5

Classification of Known

Application Number

Filing Date

First Named Inventor

Robert E. Fischell

Group Art Unit

Examiner Name

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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7

Lawrence et al., "Percutaneous Endovascular Graft: Experimental Evaluation," 1986 RSNA Annual Meeting, Radiology, vol. 163, pp. 357-60 (May 1987).

Mirisch et al., "Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," Radiology, vol. 170, pp. 1033-37.

Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," Investigative Radiology, vol. 23, pp. 370-76 (May 1988).

Charnsangavej et al., "Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, 1986 161:795-98.

Rosch et al., "Gianturco Expandable Stents In Experimental and Clinical Use," March 24, 1987.

Wallace et al., "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress," Radiology, 158:309-12 (1986).

Rosch et al., "Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents," Radiology, 162: 481-85 (1987).

Rosch et al., "Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use," Ann Radiol, 31: 100-03 (1988).

Charnsangavej et al., "A New Expandable Metallic Stent for Dilation of Stenotic Tubular Structures Experimental and Clinical Evaluations," Houston Medical Journal, Vol. 3, June 1987.

Rosch et al., "Gianturco Expandable Wire Stents in the Treatment of Superior Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation," Cancer, 60: 1243-46 (1987).

Yoshioka et al., "Self-Expanding Endovascular Graft: An Experimental Study in Dogs," AJR, 151:673-76 (1988).

FOREIGN PATENT DOCUMENTS

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4

Application Number

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Robert E. Fischell et al

Group Art Unit

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Attorney Docket No.

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS (1987).

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear.
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	6-41745	A61M 29/02	Hanako Medical Company Ltd.	06-03-94	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number/PTO	
		Filing Date	
		First Named Inventor	Robert E. Fischell
		Group Art Unit	
		Examiner Name	
Sheet 4 of 5	Attorney Docket Number	TOH2748P0043US	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	61	Lawrence et al., "Percutaneous Endovascular Graft: Experimental Evaluation," 1987 RSNA Annual Meeting, Radiology, vol. 163, pp. 357-60 (May 1987).
	62	Mirich et al., "Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," Radiology, vol. 170, pp. 1033-37.
	63	Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," Investigative Radiology, vol. 23, pp. 370-75 (May 1988).
	64	Charnsangavej et al., "Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, 1986 161:295-98.
	65	Rosch et al., "Gianturco Expandable Stents in Experimental and Clinical Use," March 24, 1987, pp. 121-124.
	66	Wallace et al., "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress," Radiology, 158:309-12 (1986).
	67	Rosch et al., "Experimental Intrahepatic Protocaval Anastomosis: Use of Expandable Gianturco Stents," Radiology, 162:481-85 (1987).
	68	Rosch et al., "Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use," Ann Radiol, 31:100-03 (1988).

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		Filing Date	06/21/2007
		First Named Inventor	Robert E. Fischell
		Group Art Unit	
		Examiner Name	JOHN S. ...
Sheet 5 of 5	Attorney Docket Number	JOH2748P0043US	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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	69	Charsangavej, et al., "A New Expandable Metallic Stent for Dilation of Stenotic Tubular Structures Experimental and Clinical Evaluations," <u>Houston Medical Journal</u> , Vol. 3, June 1987, pp. 41-51.
	70	Rosch et al., " Gianturco Expandable Wire Stents in the Treatment of Superior Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation," <u>Cancer</u> , 60:1243-46 (1987).
	71	Yoshioka et al., "Self-Expanding Endovascular Graft: An Experimental Study in Dogs," <u>AJR</u> , 151:673-76 (1988).
	72	Simonds et al., "Use of Experimental Metal Stents in the Treatment of Bronchial Obstruction," <u>Thorax</u> , 44:680-81 (1989)
	73	Duprat et al., " Flexible Balloon Expandable Stent for Small Vessels," <u>Radiology</u> , 162:276-78 (1987).

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Group Art Unit

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¹ United States Designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 II. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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First Named Inventor

Robert E. Fischell

Group Art Unit

Examiner Name

Sheet 2 of 5

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U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or References Figure Above
		Number and Count (if known)			
		5,102,417	J.C. Palmaz	04/07/1992	
		5,104,404	R.G. Wolff	04/14/1992	
		5,133,732	D.M. Wiktor	07/28/1992	
		5,192,307	W.H. Wall	03/09/1993	
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		5,282,824	C. Gianturco	02/01/1994	
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Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant or Class Document	Date of Publication of Class Document MM-DD-YYYY	1988 Country Code Where Received Patented or Applied Country Code
		Office	Number			
				475,011		FR 1988-15
				477,754		FR 1988-15
				478,844		FR 1988-15
				479,575		FR 1988-15
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				482,100		FR 1988-15
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11/2/77	11/2/77
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Sheet 1 of 5

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Application Number
Filing Date
First Named Inventor Robert E. Fischell
Group Art Unit
Examiner Name
Attorney Docket Number 30H2748P0043US

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Figures are Cited
		Number	Kind Code (if known)			
		3,657,744		R. A. Ersek	04/25/1972	
		4,503,569		C.T. Dotter	03/12/1985	
		4,553,545		D. Maass et al	11/19/1985	
		4,580,568		C. Gianturco	04/08/1986	
		4,655,771		H.I. Wallsten	04/07/1987	
		4,681,110		D.M. Wiktor	07/21/1987	
		4,733,665		J.C. Palmaz	03/29/1988	
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		4,768,507		R.E. Fischell	09/06/1988	
		4,795,458		B.F. Regan	01/03/1989	
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		4,878,906		P. Lindemann et al	11/07/1989	
		4,886,062		D.M. Wiktor	12/12/1989	
		4,907,336		C. Gianturco	03/13/1990	
		4,954,126		H.I. Wallsten	09/04/1990	
		4,969,458		D.M. Wiktor	11/13/1990	
		5,019,085		R.A. Hillstead	05/28/1991	
		5,061,275		H.I. Wallsten	10/29/1991	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Figures are Cited
		Office	Number	Kind Code (if known)			
		EP	433,011		R. Fischell et al	06/19/1991	
		EP	472,731		K. Inoue	03/04/1992	
		EP	566,807		J. Sgro	10/27/1993	
		EP	579,523		J. Cortenceau	01/19/1994	
		EP	593,136		R. Fischell	12/11/1984	
		GB	1,205,743		C. Didcott	09/16/1970	
		GB	2,189,150		H. Wallsten et al	10/21/1987	
		PCT	WO95/31945		Burmeister et al	11/30/95	

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Sheet	1	of	1
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Complete If Known

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Robert E. Fischel

UOH2748P0043US

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number 09/596,074

Filing Date	June 16, 2000
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Filing Date

First Named Inventor: Robert E. Fischell et al.

First Name:

Group Art Unit **STATEMENT BY APPLICANT** 3731

! ! ! ! !

Examiner Name *W. Lewis*

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Sheet	1	of	4
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ³ (if known)			
		JP	6-41745	A61M 29/02	Hanako Medical Company Ltd.	December 7, 2000, Trial Transcript of Oral Examination v. Cordis Corporation et al., Civil Case No. 00-03-194	
						December 8, 2000, Trial Transcript of Oral Examination v. Cordis Corporation et al., Civil Case No. 00-03-194	
						December 11, 2000, Trial Transcript of Oral Examination v. Cordis Corporation et al., Civil Case No. 00-03-194	
						December 14, 2000, Trial Transcript of Oral Examination v. Cordis Corporation et al., Civil Case No. 00-03-194	
						December 15, 2000, Trial Transcript of Oral Examination v. Cordis Corporation et al., Civil Case No. 00-03-194	
						December 18, 2000, Trial Transcript of Oral Examination v. Cordis Corporation et al., Civil Case No. 00-03-194	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Filing Date		June 16, 2000	
		First Named Inventor		Robert E. Fischell et al.	
		Group Art Unit		3731ME	
		Examiner Name		W. Lewis	
Sheet	2	of	5	Attorney Docket No.	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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		Jury Verdict (Damages) of Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		Jury Verdict (Liability) Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 20, 2000, Pretrial Hearing of Boston Scientific Corporation et al. v. Cordis Corporation, Civil Action No. 98-19 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 21, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
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		November 28, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 29, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 30, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 1, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 4, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 5, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 6, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 7, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 8, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 11, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 12, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 13, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
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		First Named Inventor		Robert E. Fischell et al.	
		Group Art Unit		3731	
		Examiner Name		W. Lewis	
Sheet	3	of	5	Attorney Docket No.	

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		December 14, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)			
		December 15, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)			
		February 9, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)			
		February 12, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)			
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		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Brief in Support of BSC's Motions for Judgment as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Answering Brief in Opposition to BSC's Motion for JMOL on the Fischell 312 and 370 Patents, Civil Action No. 98-197-SLR			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of BSC's Motions for Judgment as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Brief in Support of Cordis' JMOL Motion Against Boston Scientific on Claim 44 of the Palmaz 762 Patent and Claim 25 of the Fischell 370 Patent, Civil Action No. 97-550 (SLR) and Civil Action No. 98-197-SLR			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Brief in Opposition To Cordis' JMOL Motion Regarding the Applicability of the Reverse Doctrine of Equivalents to Claim 25 of U.S. Patent No. 5,879,370, Civil Action No. 98-197-SLR			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Cordis' Motion for JMOL Against BSC on Claim 44 of the Palmaz 762 Patent and Claim 25 of the Fischell 370 Patent, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Post-Trial Brief in Support of Finding that U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197 (SLR)			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of Finding That U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197-SLR			
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgment of Unenforceability due to Inequitable Conduct, Civil Action No. 98-197-SLR			
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		Group Art Unit	3731		
		Examiner Name	W. Lewis		
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		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Defendants' Markman Memorandum on Claim Construction, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgement of Invalidity Under 35 U.S.C. Section 112, Paragraph 2 (Claim Indefiniteness), Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendant's Motion for Summary Judgement of Noninfringement, Civil Action No., 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR	
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		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Supplemental Expert Report of David C. Cumberland, M.D. Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR	
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		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Boston Scientific Corporation and Scimed Life Systems, Inc.'s Notice Pursuant to 35 U.S.C. §282, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Memorandum Order dated September 7, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Denying Defendant's Motion for Summary Judgment of Invalidity, Civil Action No. 98-197-SLR	
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		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D. dated November 16, 1999, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D. dated January 4, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D. dated January 5, 2000, Civil Action No. 98-197-SLR	
Examiner Signature			Date Considered
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		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D dated January 6, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D dated January 6, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D dated June 15, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Morton Rosenberg, Esq. Dated February 3, 2000, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Morton J. Rosenberg, Esq. dated June 15, 2000, Civil Action No. 98-197-SLR	
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